

APPROVED BROKER LIST

Approved Brokers

To help ensure that Basis will be able to achieve the best possible execution for its clients, the Firm reviews its list of Approved Brokers at least once annually:

- During 2019, we added names to the list to increase the number of brokers available for execution and placing of orders, in particular for currencies and commodities.
- The annual review performed in January 2020 did not result in any brokers being removed from the list.

Company Name	LEI	Asset Class Traded
ADM INVESTOR SERVICES INTERNATIONAL LTD	213800GNABQM2M887812	FX
ADS SECURITIES LLC	213800R89K6EBE7N6G20	FX
B2C2 OTC LTD	213800LI35C95IKYGT06	Digital Assets
BlockFills	549300BW54UYGBYHCA92	Digital Assets
Flow Traders N.V.	549300Z7LIC6NFIJL947	Digital Assets, FX
GFI Brokers LTD	5493007S600HR48ATX36	Commodities, FX
ISPrime LTD	549300F62BRRDZKCUZ58	FX
Marex Spectron International LTD	549300FR3U1PB1Y6LV13	Commodities
R.J. O'Brien LTD	549300OHLZ0RR2TSKH90	Commodities
Velocity Trade International LTD	549300T167XWWKCEDP79	FX
